Docket No.

247968US

# N THE JULIED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Kazuhiro SHIMIZU

SERIAL NO:

10/761,235

GAU:

FILED:

January 22, 2004

**EXAMINER:** 

FOR:

SEMICONDUCTOR DEVICE, METHOD OF MANUFACTURING SEMICONDUCTOR DEVICE, AND

METHOD OF EVALUATING MANUFACTURING PROCESS OF SEMICONDUCTOR DEVICE

# **INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97**

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR-

Applicant(s) wish to disclose the following information.

### REFERENCES

$\boxtimes$	The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
	references are attached, where required, as are either statements of relevancy or any readily available English
	translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### RELATED CASES

П	Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present						
_	application. A copy of the patent(s), together with a copy of the claims and drawings of the pending application						
	is attached along with PTO 1449.						

A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

### **CERTIFICATION**

Each item of information contained in this information disclosure statement was first cited in any communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

# DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

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SHEET 1 OF 1

Form PTŐ 1449	Form PTO 1449  MAR V.S/DERARTMENT OF COMMERCE PATENT AND TRADEMARKOFF 1981		AST DOCKET NO.		SERIAL NO.						
(Modified) PATENTAND TRADEMARK POFFICE		247968US2		10/761,235							
				APPLICANT							
LIST OF	REFE	RÊNCES CHED BY AP	PLICANT	Kazuhiro SHIMIZU							
				FILING DATE		GROUP					
				January 22, 2004							
U.S. PATENT DOCUMENTS											
EXAMINER DOCUMENT DATE			NAME CLASS		SUB FILING DATE						
INITIAL	• •	NUMBER	ļ		CDAGG	CLASS	IF A	PPROPRIATE			
	AA	4,292,642	09/29/1981	Appels et al.							
	AB	5,455,439	10/03/1995	Terashima et al							
	AC	5,907,182	05/25/1999	Terashima							
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	AG										
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			FO	REIGN PATENT DOCUMENTS							
	DOCUMENT NUMBER DATE		DATE	COUNTRY		TRANSLATION YES NO					
	AO	9-283716	10/31/97	JAPAN (with English extract)				×			
	AP	5-190693	7/30/93	JAPAN (with English extract)				х			
	AQ	10-12607	1/16/98	JAPAN (with English extract)				х			
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		OTHER RE	EFERENCES (	Including Author, Title, Date, Pertinent	t Pages, et	tc.)					
	AW										
	AX										
	AY										
	AZ Additional References sheet(s) at							sheet(s) attached			
Examiner						Date Considered					
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											
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